

ATTORNEY DOCKET NO.: CIS00-3379

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Balasubramanian Kalyanasundaram, John G. Waclawsky, and
Mahendran Velauthapillai
Serial No.: 09/812,134
For: METHODS AND APPARATUS FOR ALLOCATING
RESOURCES IN A COMMUNICATIONS SYSTEM
Filing Date: March 19, 2001
Examiner: Unassigned
Art Unit: 3635

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Date: October 30, 2002

By: Sandra L. Kopacz
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Document, whose signature appears below)

Signature: *Sandra L. Kopacz*

Commissioner for Patents
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

[] under 37 CFR 1.129(a), or (If IDS filed as first/second submission after Final Rejection);

-2-

- ☒ under 37 CFR 1.97(b), or (If IDS Filed within three months of filing national application; or date of entry of the national stage in international application; or before mailing date of first office action on the merits; whichever occurs last);
- ☐ under 37 CFR 1.97(c) together with either:
 - ☐ a Statement under 37 CFR 1.97(e), as checked below, or
 - ☐ a \$240.00 fee under 37 CFR 1.17(p), or (If filed after the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
 - ☐ a Statement under 37 CFR 1.97(e), as checked below, and
 - ☐ a Petition under 37 CFR 1.97(d)(2), and
 - ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i). (If IDS filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ☐ Applicant requests that the attached IDS and cited reference(s) _____ be placed in the application filewrapper. (If IDS filed after payment of issue fee)

Statement Under 37 CFR 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of the cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in a prior application, having U.S. Serial No. _____, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement (required for non-English references):

- ☐ The "concise explanation" requirement for reference(s) _____ under 37 CFR 1.98(a)(3) is satisfied by:
- ☐ the explanation provided on the attached sheet.
- ☐ the explanation provided in the Specification.
- ☐ submission of the enclosed International Search Report.
- ☐ the enclosed English language abstract.

It is requested that the information disclosed herein be made of record in this application.


Method of payment:

- ☒ No fee is required for this Information Disclosure Statement.
- ☐ Enclosed is a check in the amount of \$_____.
- ☐ A copy of this Statement is enclosed.

If a fee is required for this IDS, or if the enclosed fee is not sufficient, the Patent Office is hereby granted permission to charge Deposit Account No. 50-0901 (Chapin & Huang, L.L.C.) for any additional fees required for this IDS.

Respectfully submitted,

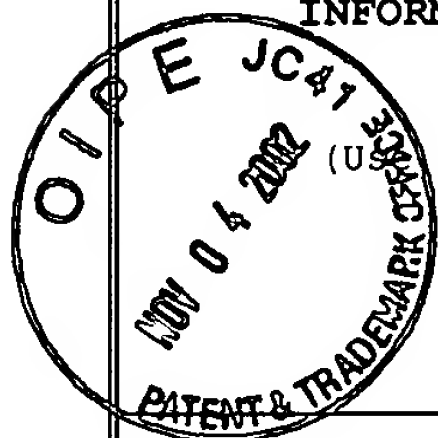
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Dated: October 30, 2002

PTO-1449 REPRODUCED				ATTORNEY DOCKET NO.		APPLICATION SERIAL NO.	
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)				CIS00-3379		09/812,134	
				APPLICANT Balasubramanian Kalyanasundaram et al.			
				FILING DATE March 19, 2001		GROUP 3635	
U.S. PATENT DOCUMENTS							
EXAM- INER INI- TIAL		DOCUMENT NUMBER	DATE mm/dd/yy	NAME	CLASS	SUB-CLASS	FILING DATE IF APPROPRIATE
	AA	5,815,492	09/29/98	Jean-Marc Berthaud et al.	370	234	02/27/97
	AB	5,953,344	09/14/99	James E. Dail et al.	370	443	12/10/96
	AC	6,011,776	01/04/00	Jean-Marc Berthaud et al.	370	232	01/22/97
	AD	6,021,268	02/01/00	Thomas M. Johnson	395	500.24	08/21/97
	AE	6,122,514	09/19/00	Charles W. Spaur et al.	455	448	01/03/97
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO
	AL						
	AM						
	AN						
	AO						
	AP						
	AQ						
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
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